

#4 (80) 10/13/2 1614
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 01-019-A)

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In the Application of:

Guiping Li, et al.

Serial No. 10/038,069

Filed: December 21, 2001

For: Benzimidazole and Pyridylimidazole
Derivatives As Ligands for GABA_A Receptors

Examiner: not assigned

Art Unit: 1614

Asst. Commissioner for Patents
Washington, D.C. 20231

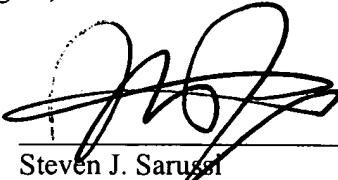
Sir:

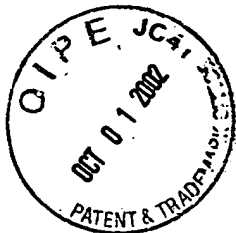
TRANSMITTAL LETTER

In regard to the above identified application we are transmitting herewith the attached:

1. A. Information Disclosure Statement;
B. Form 1449 and six (6) cited references;
C. Postcard.
2. With respect to additional fees:
 - A. ☒ No additional fee is required
 - B. ☐ Attached is a check in the amount of \$180.00
3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage via First Class Mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231 on this 26th day of September 2002.

By:


Steven J. Sarussi
Reg. No. 32,784



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INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

This prior art statement is filed under 37 C.F.R. §§1.97-1.98 in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56.

In the judgment of the undersigned, the references listed on the attached Form PTO-1449 may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this statement or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. §102.

Foreign Documents

1. WO 00 59905 A published 12 October 2000.
2. EP 0 082 369 A published 29 June 1983.
3. WO 98 34923 A published 13 August 1998.
4. WO 96 33192 A published 24 October 1996.
5. WO 01 18000 A published 15 March 2001.
6. WO 00 78728 A published 28 December 2000.

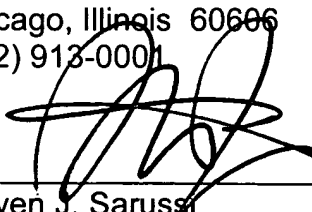
Also, enclosed is a copy of the European Search Report in which all of the above-listed references were cited during the prosecution of a corresponding PCT application.

In accordance with MPEP Sections 609 and 707.05(b), it is requested the document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Respectfully submitted,

McDONNELL BOEHNEN
HULBERT & BERGHOFF
300 South Wacker Drive
Chicago, Illinois 60606
(312) 913-0001

Dated: September 26, 2002

By: 
Steven J. Sarussi
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